5HLPEXUVHPHQW3RRO3URJUDP &RQWULEXWLRQV SUHSDUHG E\ &60* &R DQG LWV DI
LQWHQGHG WR SURYLGH D JHQHUDO UHIHUHQFH UHJDUGLQJ ELOOLQJ FRGL
UHTXLUHPHQWV DQG GXHV FODULILV HGLWLQJ ORJLF EHQHILWV GHVLJQ DQG I
5HLPEXUVHPHQW3RRO3URJUDP

,Q DGGLWLRQ WR WKH XVH RI WKLV 3RRO3URJUDP EHQHILWV LV VXEMHFW WR PHPEHU EHQHILWV D
QHFHVVLW\ DGKHUHQFH WR SODQ SROLFLHV DQG SURFHGXUHV FODLPV HGL
DXWKRUL]DWLRQ XQWLOL]DWLRQ UHYLHZ DQG JHPHQW JXLGHOLQHV 0HGLFDOO\ QHFI
KHDOWK FDUH VHUYLFHV RU VXSSOLHV WKDW DUH SURSHU DQG QHFHVVDU\
ZLWKRXW ZKLFK WKH EHQHILFLDU\ ZRXOG VXIIHU SURORQJHG LQFUHDVHG RU C
D ERG\ RUJDQ RU SDUW RU VLJQ RI LOOQHVV WHSUDYLOLFHDVHBHGHLWFWRKHRVWDQGDUGV F
DUHD DUH WKHRVWZDVWHUQDWLYH DQG DUH QRW SURYLGHG PDLQO\ HGLF
QHFHVVDU\ VHUYLFHV DOVR LQFOXGH WKRVH VHUYLFHV GHILQHG LQ DQ\
GRFXPHQWV 0HGLFDQ3RO3URYLGHU0DQXDO 0HPEHU +DQGERRNV DQG F

7KLV 3ROLF\ GRHV QRW HQ5HLPEXUVHPHQW3RRO3URJUDP WKH SODQ F
WKH (YLGHQFH RI &RYHUDJH HIHUHQFHV DQG D FRQIOLFW EHWZHHQ WKH
(YLGHQFH RI &RYHUDJH WKLHQ(WKHSQFDQRF&RWHDWH ZLOO EH WKH FRQ
GHWHUPLQDWLRQ

$ 6XEMHFW

6DFURLOLDF -RLQW 3URFHGXUHV

% %DFNJURXQG

5HLPEXUVHPHQW SROLFLHV DUH GHVLJQHG WR HQVXUH WKDW HPSOR
&DUH6RXUFH 7KH\ DUH URXWLQHO\ XSGDWHG WR SURPRWH
FODULILFDWLRQ 7KHVH SURSULHWDU\ SROLFLHV DUH QRW
IRU FODLPV PD\ EH VXEMHFW WR OLPLWDWLRQV RU H[FO
HVWDEOLVKHG EDVHG XSRQ D UHYLHZ RI WKH DFWXDO VHUY
GHWHUPLQHG ZKHQ WKH FODLP LV UHFHLYHG IRU SURFHVVL

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,QMHFWLRQV VKRXOG QRW EH UHSHDWHG PRUH IUHTX
ZLWKLQ RQH PRQWK RI RQH DQ D WRWDO RI IRXU LQMHFWLRQ
GLDJQRVWLF DQG WKHUDSHXWLF SHU MRLQW LQ P

' ,PDJH JXLGDQFH DQG RU LQMHFWLRQ RI FRQWUDVW LV
SURFHGXUHV DQG PD\ QRW EH ELOOHG VHSDUDWHO\

) ,QLWLDO 5DGLRORJLF (YDOXDWLRQ RI WKH 6, -RLQW
$PHPEHU FDQ JHQHUDWH DQ RUGHU IRU RQH RU
DGGLWLRQDO IRU 6, -RLQW SDLQ SHU VLGH SHU UROOLQ
&DUH6RXUFH PHGLFDOFRPPXQLFDWLRQ KDV EHHQ

* 5HSHDW 5DGLRIUHTXHQF\ $EODWLRQ RI WKH 6, -RLQW
&RQVHUYDWLYH WKHUDS\ DQG GLDJQRVWLF LQMHFWL
EHHQ D UHGXFWLRQ LQ SDLQ IRU DW OHDVW WZHOYH
LQLWLDO 5)$ ZLWKLQ WKHPRQWKV
:KHQ WKHUH KDV QRW EHHQ D UHSHDW 5)$ PRQWKV DDV
GLDJQRVWLF LQMHFWLRQ LV UHTXLUHG

(&RQGLWLRQV RI &RYHUDJH

5HLPEXUVHPHQW LV GHSHQGHQW RQ EXW QRW OLPLWHG WR
&37 FRGHV DORQJ ZLWK DSSURSULDWH 0RGLILHU WR WKH LQ
IHH VFKHGXOH IRU DSSURSULDWH FRGHV

- 7KH IROORZLQJ OHLVWVSURYLGHG DVKDUHEHQHW QRW DOO LQFOXVLYH DQG LV VXEMHFW WR XSGDWHV

6DFURLOLD 3URFHGXU	'HVFULSWLRQ
	,QMHFWLRQ SURFHGXUH IRU VDFURLOL LPDJH JXLGDQFH IOXRURVFRS\ RU &7 SHUIRUPHG
	,QMHFWLRQ V DQHVWKHWLF DJHQW V WKH VDFURLOLDF MRLQW ZLWK LPDJH FRPSXWHG WRPRJUDSK\
	5DGLRIUHTXHQF\ DEODWLRQ QHUYHV L ZLWKLQ KDJH JXLGDQFH RU FRPSXW RU FRPSXW
*	,QMHFWLRQ SURFHGXUH IRU VDFURLOL VWHURLG DQG RU RWKHU WKHUDSHXWL DUWKURJUDSK\

) 5HODWHG 3ROLFLHV 5XOHV

6DE RLOLD3FURFHGXUHV 00